Attachment 4.19D Part II Subpart C

KANSAS MEDICAID STATE PLAN

30-10-202. ICF-MR provider agreement. As a prerequisite for participation in the medicaid/medikan program as an ICF-MR provider, the owner or lessee shall enter into a provider agreement with the agency on forms prescribed by the secretary. The effective date of this regulation shall be January 30, 1991. (Authorized by and implementing K.S.A. 39-708c, as amended by L. 1990, Chapter 152; effective, T-30-10-1-90, Oct. 1, 1990; effective Jan. 30, 1991.)

KANSAS MEDICAID STATE PLAN

- 30-10-203. ICF-MR inadequate care. (a) When the agency determines that inadequate care is being provided to a client, payment to the ICF-MR for the client may be terminated.
- department of health and environment that an ICF-MR has not corrected deficiencies which significantly and adversely affect the health, safety, nutrition or sanitation of ICF-MR clients, payments for new admissions shall be denied and future payments for all clients shall be withheld until confirmation that the deficiencies have been corrected. The effective date of this regulation shall be January 30, 1991. (Authorized by and implementing K.S.A. 39-708c, as amended by L. 1990, Chapter 152; effective, T-30-10-1-90, Oct. 1, 1990; effective Jan. 30, 1991.)

KANSAS MEDICAID STATE PLAN

30-10-204. ICF-MR standards for participation; intermediate care facility for the mentally retarded or clients with related conditions. As a prerequisite for participation in the medicaid/medikan program as a provider of intermediate care facility services for the mentally retarded or clients with related conditions, each ICF-MR shall: (a) Meet the requirements of 42 CFR 442, subparts A, B, C and E, effective October 3, 1988, which is adopted by reference, and 42 CFR 483, subpart D, effective October 3, 1988, which is adopted by reference; and

(b) be certified for participation in the program by the Kansas department of health and environment. The effective date of this regulation shall be January 30, 1991. (Authorized by and implementing K.S.A. 39-708c, as amended by L. 1990, Chapter 152; effective, T-30-10-1-90, Oct. 1, 1990; effective Jan. 30, 1991.)

§ 442.13

447.1 Basis and surrous

(a) This part states requirements for provider agreements, facility certification, and facility standards relating to the provision of services furnished by skilled nursing facilities and intermediate care facilities, including intermediate care facilities for the mentally retarded, to Medicaid recipients.

8 442.2 Terms.

In this part-

Facility refers to a skilled nursing facility (SNF), an intermediate care facility (ICF), and an intermediate care facility for the mentally retarded or persons with related conditions (ICF/MR). Except where otherwise specified, "ICF" refers to both an ICF and an ICF/MR.

Facility, and any specific type of facility referred to, may include a distinct part of a facility as specified in § 440.40 or § 440.150 of this subchapter.

Immediate jeopardy or immediate threat for Medicaid certified facilities means a situation in which a facility's noncompliance with one or more conditions of participation (for SNFs) or standards (for ICFs and ICFs/MR) poses a serious threat to patients of clients' health or safety such that immediate corrective action is necessary. There is no substantive difference between immediate jeopardy and immediate threat.

New admission means the admission of a Medicaid recipient who has never been in the facility or, if previously admitted, had been discharged or had voluntarily left the facility. The term does not include the following:

(a) Individuals who were in the facility before the effective date of denial of payment for new admissions, even if they become eligible for Medicaid after that date.

(b) If the approved State plan includes payments for reserved beds, individuals who, after a temporary absence from the facility, are readmitted to beds reserved for them in accordance with § 447.40(a) of this chapter.

(43 PR 4523), Sept. 29, 1975, as amended at 51 PR 24491, July 3, 1986; 53 PR 1993, Jan. 25, 1988)

Subpart B-Provider Agreements

\$ 442.10 State plan requirement.

A State plan must provide that requirements of this subpart are met.

#442.12 Provider agreement: General requirements.

(a) Certification and recertification. Except as provided in paragraph (b) of this section, a Medicald agency may not execute a provider agreement with a facility for SNF or ICF services nor make Medicald payments to a facility for those services unless the Secretary or the State survey agency has certified the facility under this part to provide those services. (See § 442.101 for certification by the Secretary or by the State survey agency).

(b) Exception. The certification requirement of paragraph (a) of this section does not apply with respect to Christian Science sanitoris operated, or listed and certified, by the Pirst Church of Christ Scientist, Boston, Mass.

(c) Conformance with certification condition. An agreement must be in accordance with the certification provisions set by the Secretary or the survey agency under Subpart C of this part.

(d) Denial for good cause. (1) If the Medicald agency has adequate documentation showing good cause, it may refuse to execute an agreement, or may cancel an agreement, with a certified facility.

(2) A provider agreement is not a valid agreement for purposes of this part even though certified by the State survey agency, if the facility falls to meet the civil rights requirements set forth in 45 CFR Parts 80, 84, and 90.

(45 PR 22036, Apr. 4, 1960)

\$ 442.13 Effective date of agreement.

(a) Basic requirements. If the Medicald agency enters into a provider agreement, the effective date must be in accordance with this section.

(b) All Federal requirements are met on the date of the survey. The agreement must be effective on the date the onsite survey is completed (or on the day following the expiration of a cur-

Subpart A—General Provisions

6 442.3 Basis and purpose.

(a) This part states requirements for provider agreements and facility certification relating to the provision of services iumished by skilled nursing facilities and intermediate care facilities to Medicaid recipients. The requirements apply to State Medicaid agencies and survey agencies and to the facilities. This part is based on the following sections of the Act:

Section 1902(ax4), administrative methods for proper and efficient operation of the State plan:

Section 1902(a X27), provider agreements: Section 1902(a X28), skilled nursing facility standards:

Section 1902(aX31XB), State survey agency functions:

Section 1902(1), circumstances and procedures for denial of payment and termination of provider agreements in certain cases:

Bection 1905 (c) and (d), definition of intermediate care facility services;

Section 1905 (f) and (l), definition of skilled nursing facility services;

Section 1910, certification and approval of ENPs and of RECs: Section 1913, hospital providers of skilled

nursing and intermediate care services, and Section 1915, correction and reduction plans

for intermediate care facilities for the mentally relarded.

(b) Section 431.610 of this subchapter contains requirements for designating the State licensing agency to survey these facilities and for certain survey agency responsibilities.

(43 PR 48233, Sept. 29, 1978, as amended at 47 PR 31523, July 30, 1982; 51 PR 24490, July 3, 1984; 53 PR 1993, Jan. 25, 1984; 83 PR 30495, June 3, 1988)

EFFECTIVE DATE NOTE: At 83 PR 20495, June 3, 1988, \$442,1(a), the first sentence was revised, effective October 3, 1988. Por the convenience of the user, the superseded text is set forth below:

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§ 442.14

rent agreement) if, on the date of the

survey, the provider meets:
(1) All Federal health and safety requirements; and

(2) Any other requirements imposed by the Medicald agency.

(c) All Federal requirements are not met on the date of the survey. If the provider fails to meet any of the requirements specified in paragraph (b) of this section, the agreement must be effective on the earlier of the following dates:

(1) The date on which the provider

meets all requirements.

(2) The date on which the provider is found to meet all applicable conditions of participation and submits a correction plan for other deficiencies to the State survey agency or an approvable waiver request, or both.

(45 PR 22936, Apr. 4, 1980; as amended at \$3

(4) FR 22934, Apr. 4, 1980; as amended at 32 FR 20495, June 3, 1988, Dyractive Date Note: At 53 FR 20495, June 3, 1988, §442.13(b)k1), was amended by removing the word "standards" and adding in its place the word "requirements," and paragraph (c) was revised, effective October 3, 1988. For the convenience of the user, the superseded text is set forth below:

8 442.13 Effective date of agreem

(c) All Federal requirements are not w on the date of the survey. If the provider falls to meet any of the requirements specified in paragraph (b) of this section, the agreement must be effective on the earlier of the following dates:
(1) The date on which the provider meets

all requirements.

(2) The date on which the provider sub-mits a correction plan acceptable to the State survey agency or an approvable waiver request, or both.

\$442.14 Effect of change of ownership.

(a) Assignment of agreement. When there is a change of ownership, the Medicaid agency must automatically assign the agreement to the new OWner.

(b) Conditions that apply to assigned agreements. An assigned agreement is subject to all applicable statutes and regulations and to the terms and conditions under which it was originally issued, including, but not limited to, the following:

(1) Any existing plan of correction. •••

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(2) Any expiration date.

(3) Compliance with applicable health and safety requirements.

(4) Compliance with the ownership and financial interest disclosure re-quirements of \$\frac{1}{4}455.104 and 455.105 of this chapter.

(5) Compliance with civil rights requirements set forth in 45 CFR Parts 80, 84, and 90.

(6) Compliance with any additional requirements imposed by the Medicald MEDCY.

[45 PR 22936, Apr. 4, 1980, as amended at \$3 PR 20495, June 3, 1988]

EFFECTIVE DATE NOTE At \$3 PR 20195, June 3, 1988, [442.14(bX3), was amended by removing the word "standards" and adding in its place the word "requirements," effec-Live October 3, 1984.

\$442.15 Duration of agreement.

Except as specified under 442.16, the duration of an agreement may not exceed 12 months.

(b) The agreement must be for the same duration as the certification period set by the survey agency. However, if the Medicald agency has adequate documentation showing good cause, it may make an agreement for less than this period.

(c) FFP is available for services provided by a facility for up to 30 days after its agreement expires or terminates under the conditions specified in § 441.11 of this subchapter.

(d) The limitation specified in paragraph (a) of this section does not apply to hospitals with a swing-bed approval

(43 PR 45233, Sept. 29, 1978, as amended at 47 PR 31832, July 20, 1942)

#442.16 Extension of agreement

A Medicald agency may extend a provider agreement for a single period of up to 2 months beyond the original expiration date specified in the agreement if it receives written notice from the survey agency, before the expira-tion date of the agreement, that ex-tension will not jeopardize the patients' health and safety, and-

(a) Is needed to prevent irreparable harm to the facility or hardship to the

recipients in the facility; or

6 442.30

(b) Is needed because it is impracticable to determine, before the expiration date, whether the facility meets certification requirements.

143 FR 45233, Sept. 29, 1978, as amended at \$2 PR 32551, Aug. 26, 1987; \$3 PR 20495, June 3, 1988)

EFFECTIVE DATE NOTE: At \$3 FR 20485, June 3, 1988, §442.16(b), was amended by removing the word "standards" and adding in its place the word "requirements," effective October 3, 1888

\$ 442.20 Additional requirements agreements with SNF's participating in Medicare.

- (a) The Medicald agency's agreement with a SNF participating in Medicare must-
- (1) Provide for the same terms and conditions as Medicare certification; and

(2) Be for the same duration as the

- Medicare certification.
 (b) If the Secretary notifies the Medicaid agency that he has denied, terminated, or refused to renew a Medicare agreement with a SNF, the agency must deny, terminate, or refuse to renew its Medicaid agree-ment with that SNF. The denial, termination, or refusal to renew the Medicaid agreement must be effective on the same date as the denial, termination, or refusal to renew the Medicare agreement.
- (c) If the Medicald agency has terminated an agreement under paragraph (b) of this section, it may not make another agreement with that SNF until-

(1) The conditions causing the termination are removed; and

(2) The SNF provides reasonable assurance to the survey agency that the conditions will not recur.

(43 FR 45233, Sept. 29, 1978, as amended at 44 PR 9783, Peb. 18, 1979)

\$ 442.30 Agreement as evidence of certification.

(a) Under \$\$ 440.40(a) and 440.150 of this chapter, FFP is available in ex-penditures for SNF and ICF services only if the facility has been certified as meeting the requirements for Medicaid participation, as evidenced by a provider agreement executed under this part. An agreement is not valid evidence that a facility has met those requirements if HCFA determines that

(I) The survey agency failed to apply the applicable certification requirements under Subpart D. E. or P of this part or Subpart D of Part 483, which sets forth the conditions of par-ticipation for ICFs/MR:

(2) The survey agency failed to follow the rules and procedures for certification set forth in Subpart C of this part and § 431.610 of this sub-

chapter;

(3) The survey agency failed to per-form any of the functions specified in \$ 431.610(g) of this subchapter relating to evaluating and acting on information about the facility and inspecting the facility:

(4) The survey agency falled to use the Federal standards, and the forms, methods and procedures prescribed by HCFA as required under \$431.610(f)X1) of this chapter, for determining the qualifications of providers; or

(5) The survey agency falled to adhere to the following principles in determining compliance:

(i) The survey process is the means to assess compliance with Federal health, safety and quality standards;

(ii) The survey process uses resident outcomes as the primary means to es-tablish the compliance status of facilities. Specifically, surveyors will directly observe the actual provision of care and services to residents, and the effects of that care, to assess whether the care provided meets the needs of individual residents;

(iii) Surveyors are professionals who use their judgment, in concert with Federal forms and procedures, to de-

termine compliance;

(iv) Federal procedures are used by all surveyors to ensure uniform and consistent application and interpretation of Federal requirements;

(v) Federal forms are used by all surveyors to ensure proper recording of findings and to document the basis for the findings.

(6) The survey agency failed to assess in a systematic manner a facility's actual provision of care and services to residents and effects of that care on residents.

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\$ 442.40

(7) Required elements of the SNF or ICF survey process include all of the

(i) An entrance conference; (ii) A resident-centered tour of facili-

ty;
(iii) An in-depth review of a sample
of residents including observation, interview and record review;

(iv) Observation of the preparation and administration of drugs for a sample of residents;

(v) Evaluation of a facility's meals, dining areas and eating assistance procedures:

(vi) Formulation of a deficiency statement based on the incorporation of all appropriate findings onto the survey report form;

(vii) An exit conference; and (viii) Follow-up surveys as appropri-

ste.

(8) The agreement's terms and conditions do not meet the requirements of this subpart

(b) The Administrator will make the determination under paragraph (a) of this section through onsite surveys, other Federal reviews, State certification records, or reports he may require from the Medicaid or survey agency.
(c) If the Administrator disallows a

State's claim for FFP because of a determination under paragraph (a) of this section, the State is entitled upon request to reconsideration of the disallowance under 45 CFR Part 16.

[43 FR 45233, Sept. 29, 1978, as amended at \$1 FR 21558, June 13, 1986; 53 FR 20495, June 3, 1866; 83 FR 23101, June 17, 1886]

Dynamics Date Note At 53 PR 20465, June 3, 1988, 1442.30 paragraph (a)(1) was revised, effective October 3, 1988. For the sonvenience of the user, the superseded text is set forth below.

\$442.39 Agreement as evidence of cartificati

(a) * * * (1) The survey agency falled to apply the applicable certification standards required under Subpart D. E. F. or O of this part:

\$442.40 Availability of FFP during appeals.

(a) Definitions. As used in this section.

Effective date of expiration means the date of expiration originally speci-

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fied in the provider agreement, or the later date specified if the agreement is

extended under § 442.18; and

Effective date of termination means
a date earlier than the expiration
date, set by the Medicald agency when continuing participation until the expiration date is not justified, because the facility no longer meets the requirements for participation.

(b) Scope, applicability, and effective date—(1) Scope This section sets forth the extent of FFP in State Medicald payments to a SNF or ICP after its provider agreement has been terminated or has expired and not been renewed.

(2) Applicability. (1) This section and \$ 442.42 apply only when the Medicald agency, of its own volition, terminates or does not renew a provider agreement, and only when the survey agency certifies that there is no jeopardy to recipient health and safety. When the survey agency certifies that there is jeopardy to recipient health and safety, or when it fails to certify that there is no jeopardy, FFP ends on the effective date of termination or expiration.

(II) When the State acts under instructions from ECPA, FFP ends on the date specified by HCFA (HCFA instructs the State to terminate the Medicald provider agreement when HCFA (A) terminates the Medicare provider agreement with a SNP, or, (B) in validating a State survey agency certification, determines that a ENF or ICF does not meet the requirements for participation.)

(3) Effective date. This section and 442.42 apply to terminations or expirations that are effective on or after September 28, 1987. For terminations or nonrenewals that were effective before that date, FFP may continue for up to 120 days from September 28, 1987, or 12 months from the effective date of termination or nonrenewal,

whichever is earlier.
(c) Basic rules. (1) Except as provided in paragraphs (d) and (e) of this section, FPP in payments to a SNP or ICP ends on the effective date of ter-mination of the facility's provider agreement, or if the agreement is not terminated, on the effective date of expiration.

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§ 442.101

(2) If State law, or a Federal or State court order or injunction, requires the agency to extend the provider agreement or continue payments to a facility after the dates specified in paragraph (d) of this section, FFP'is not available in those payments.

(d) Exception: Continuation of FFP after termination or expiration of provider agreement—(1) Conditions for continuation. FFP is available after the effective date of termination or

expiration only if-

(i) The evidentiary hearing required under § 431.153 of this chapter is provided by the State agency after the effective date of termination or expiration (or. if begun before termination or expiration, is not completed until after that date); and

(ii) Termination or nonrenewal action is based on a survey agency certification that there is no jeopardy to recipients' health and safety.

(2) Extent of continuation. FFP is available only through the earlier of the following:

(i) The date of issuance of an administrative hearing decision that upholds the agency's termination or non-

(ii) The 120th day after the effective date of termination of the facility's provider agreement or, if the agreement is not terminated, the 120th day after the effective date of expiration. (If a hearing decision that upholds the facility is issued after the end of the 120-day period, when FFF has already been discontinued, the rules of \$442.42 on retroactive agreements

apply).

(e) Applicability of § 441.11. If FFP is continued during appeal under paragraph (d) of this section, the 30-day period provided by § 441.11 of this chapter would not begin to run until issuance of a hearing decision that upholds the agency's termination or non-renewal action.

[\$2 PR 32551, Aug. 28, 1987]

8 442.42 FFP under a retroactive provider agreement following appeal.

(a) Baric rule. Except as specified in paragraph (b) of this section, if a SNF or ICF is upheld on appeal from termination or nonrenewal of a provider agreement, and the State issues a ret-

roactive agreement, PFP is available beginning with the retroactive effective date, which must be determined in accordance with 4.442 13

tive date, which must be determined in accordance with § 442.13.

(b) Exception. This rule does not apply if HCFA determines, under § 442.30, that the agreement is not valid evidence that the facility meets the requirements for participation. This exclusion applies even if the State issues the new agreement as the result of an administrative hearing decision favorable to the facility or under a Federal or State court order.

(\$2 PR 32551, Aug. 28, 1987)

Subpart C---Cortification of SNFs, ICFs, and ICFs/MR

\$ 442.100 State plan requirements.

A State plan must provide that the requirements of this subpart and Part 483 are met.

(53 FR 20495, June 3, 1988)

EFFECTIVE DATE NOTE At 53 FR 20495, June 3, 1988, 1442.100 was revised, effective October 3, 1988 Por the convenience of the user, the superseded text is set forth below:

\$442.100 State plan requirements.

A State plan must provide that the requirements of this subpart are met.

\$442.101 Obtaining certification.

- (a) This section states the requirements for obtaining notice of a facility's certification before a Medicaid agency executes a provider agreement under § 442.12.
- (b) The agency must obtain notice of certification from the Secretary for—
- (1) A facility located on an Indian reservation; and
- (2) A SNF that has been certified for Medicare payments.
- (c) The agency must obtain notice of certification from the survey agency for all other facilities.
- (d) The notice must indicate that one of the following provisions pertains to the facility:
- (1) The facility meets the applicable requirements:
- (i) An SNF meets the requirements in Subpart D of this part and each of the conditions of participation in Part 405, Subpart K of this chapter.

£ 442.105

(ii) An ICF meets the requirements \$442.105 Certification with standard-level deficiencies: General provisions. in Subparts E and P of this part.

(III) An ICF/MR meets the requirements of Subpart E of this part and each of the conditions of participation in Part 483, Subpart D of this chapter.

(2) The facility is considered to meet applicable requirements based on waivers or variances granted by HCFA or the survey agency if such waivers or variances are allowed under the applicable subpart

(3) The facility has been certified with deficiencies in accordance with the following:

(i) An ICF has been certified if deficiencies are covered by an acceptable plan of correction.

(II) An SNF or ICF/MR has been certified with standard-level deficiencies 11-

(A) All conditions of participation are found met; and

(B) The facility submits an acceptable plan of correction covering the remaining deficiencies, subject to other limitations specified in § 442.105.

(e) For SNFs and ICFs/MR, the fallure to meet one or more of the applicable conditions of participation is cause for termination or non-renewal of the provider agreement.

[43 FR 45233, Sept. 29, 1978, as amended at \$3 PR 20195, June 3, 1988)

EFFECTIVE DATE NOTE At \$3 PR 20495. June 3, 1988, § 442,101 (d) and (e) was revised, effective October 3, 1988. For the convenience of the user, the superseded text is

441.191 Obtaining certification.

(d) The notice must state that the facilitr-

(1) Meets the applicable requirements under Subpart D. E. P. or O of this part, except for waivers or variations granted by the Secretary or the survey agency under those subparts; or

(2) Has been certified with provision for correcting deficiencies in meeting those re-quirements, under the conditions of this subpert .

(e) For purposes of certification of facili-ties under this subpart, a waiver of standards is not a deficiency.

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If a survey agency finds a facility deficient in meeting the standards specifled under Subpart D. E or F of this part or under Subpart D of Part 483, the agency may cartify the facility for Medicald purposes under the following conditions:

(a) The agency finds that the facility's deficiencies, individually or in combination, do not jeopardize the patient's health and safety, nor seriously limit the facility's capacity to give adequate care. The agency must maintain a written justification of these find-

(b) The agency finds acceptable the facility's written plan for correcting the deficiencies.

(c) If a facility was previously certifled with a deficiency and has a different deficiency at the time of the next survey, the agency documents that the facility-

(1) Was unable to stay in compliance with the standard for reasons beyond its control, or despite intensive efforts to comply; and

(2) Is making the best use of its re-sources to furnish adequate care.

(d) If a facility has the same deficiency it had under the prior certificathe agency documents that the facility-

(1) Did achieve compliance with the standard at some time during the prior certification period;

(2) Made a good faith effort, as judged by the survey agency, to stay in compliance; and

(3) Again became out of compliance for reasons beyond its control.

(e) If an ICF or ICF/MR has a deficiency of the types specified in § 442.111 or § 442.112 that requires a plan of correction extending beyond 12 months, the agency documents that the conditions of those sections are met.

(43 PR 45233, Sept. 29, 1978, as amended at 83 PR 20496, June 3, 1988)

DYNCTIVE DATE NOTE At 83 PR 20196, June 3, 1988, § 442.105, was amended by re-vising the title and the introductory paragraph, effective October 3, 1988. For the convenience of the user, the superseded text le set forth below:

6 442 111

8 442.185 Certification with deficiencies: General

If survey agency firids a facility deficient in meeting the standards specified under Subpart D. E. P. or G of this part, the agency may certify the facility for Medicaid purposes under the following conditions:

\$442.109 Certification period: General provisions.

- (a) A survey agency may certify a facility that fully meets applicable requirements for up to 12 months.
- (b) The survey agency may notify the Medicaid agency that the term of a provider agreement may be extended up to 2 months after the expiration date of the agreement under the conditions specified in § 442.16.
- (4) FR 45233, Sept. 29, 1978 Redesignated at 53 FR 1993, Jan. 25, 1988]
- \$442.110 Certification period: Facilities with standard-level deficiencies.
- (a) Facilities with deficiencies may be certified under § 442.105 for the period specified in either paragraph (b) or (c) of this section. However, ICF's with deficiencies that may require more than 12 months to correct may be certified under §§ 442.111 and 442.112.
- (b) The survey agency may certify a facility for a period that ends no later than 60 days after the last day specified in the plan for correcting deficiencies. The certification period must not exceed 12 months, including the period allowed for corrections.
- (c) The survey agency may certify a facility for up to 12 months with a condition that the certification will be automatically canceled on a specified date within the certification period unless—
- (1) The survey agency finds that all deficiencies have been satisfactorily corrected; or
- (2) The survey agency finds and notifies the Medicald agency that the facility has made substantial progress in correcting the deficiencies and has a new plan for correction that is acceptable.

The automatic cancellation date must be no later than 60 days after the last

day specified in the plan for correction of deficiencies under 4 442.105.

[43 FR 45233, Sept. 28, 1978, Redesignated and amended at 53 PR 1993, Jan. 28, 1988; 53 PR 20496, June 3, 1984)

EFFECTIVE DATE NOTE At 83 PR 20496, June 2, 1888, in § 442.110, the heading was revised, by inserting the words "standard-level" between "with" and "deficiencies", effective October 3, 1888.

- \$442.111 Extended period for correcting deficiencies: ICFs other than ICFs/MR; environment, sanitation and Life Safety Code deficiencies.
- (a) Scope. This section applies to ICF's other than ICF's/MR that are deficient in meeting requirements for—
- (1) Environment and sanitation (#142.324 through 442.330); or
- (2) Life Safety Code (11 442.321 through 442.323).
- (b) Certification period. The survey agency may certify an ICF other than an ICF/MR under § 442.105 for up to 12 months even though the facility has deficiencies that may take up to 2 years after the first certification of the facility to correct, if the conditions in this section are met.
- (c) Written plan for correction. The ICF must submit a written plan for correcting the deficiencies that
- (1) Specifies the steps the facility will take to correct each deficiency;
- (2) Specifies a timetable for taking each of those steps and a date for completion of correction of each deficiency that is not later than 2 years after the date the facility is first certified; and
- (3) Is acceptable to the survey agency.
- (d) Fearibility of plan. The survey agency must find that the facility
- Potentially meet the requirements in which it is deficient by taking the steps specified in the plan for correction; and
- (2) Correct each deficiency by the date specified in the plan for correction.
- (e) Progress in meeting correction plan. Within each 6-month period after acceptance of the plan for correction, the survey agency must find,